	Applicati n Number	09/713,426				
	Filing Date	November 15, 2000				
REVOCATION OF POWER OF ATTORNEY OR	POWER OF Filing Date November 15, 2000 First Named Inventor McCombie, et al.	McCombie, et al.				
AUTHORIZATION OF AGENT	Art Unit	1634				
	Examiner Name	Frank W. Lu				
	Attorney Docket Number	021031-000110US				

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:							
☑ A Power of Attorney or Authorization of Agent is submitted herewith. AND							
✓ Please change the correspondence address for the above-identified application to: ✓ Customer Number 20350 20350 PATENT TRADITION PATENT TRADITION							
☐ Firm <i>or</i>							
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I am the: ☐ Applicant/Inventor. ☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)							
		SIGN	IATURE of App	plicant or As	ssignee of I	Record	
Name	Y 218	NHU	MARON	JEY			
Signature	To share the sha	e	Zano	-1			
Date	6/2	5/2	003	Telephone		×516-367-8312	
required. Su		e invento				st or their representative(s) are w*.	

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/81 (05-03) Approved for use through 04/30/2003. OMB 06/5-03/5
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/713,426

Genomes

November 15, 2000

Genetically Filtered Shotgun Sequencing of Complex Eukaryotic

McCombie, et al.

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First Named Inventor

Filing Date

Title

POWER OF ATTORNEY OR

AUTHORIZATION OF AGENT

★ Total of 1 forms are submitted.

	Art Unit		1634			
	Examiner Name		Frank W. Lu			
	Attorney Docket N	lumber	021031-000110US			
	<u> </u>					
I hereby appoint:		_	I FIRST I THE EN SO FT ON			
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OR ☐ Practitioner(s) named below:			PATENT TRADEMARK OFFICE			
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I am the:						
Applicant/Inventor.						
	See 37 CFR 3 71					
Statement under 37 CFR 3.73(b) is enclose						
	RE of Applicant or Ass	ignee of Re	ecord			
Name JOHN MAI	ONEY					
Signature 2 of 2						
Date 6/75/7003	9	Telephone	,516-367-8312			
NOTE: Signatures of all the inventors or assignees of re-	cord of the entire interest or	their represe	ntative(s) are required. Submit multiple			

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 021031-000110US

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: McCombie, et al.
Application No./Patent No.: 09/713,426 Filed/Issue Date: November 15, 2000
Entitled: Genetically Filtered Shotgun Sequencing of Complex Eukaryotic Genomes
(Name of Assignee) (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. the assignee of the entire right, title, and interest; or
an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel <u>012521</u> , Frame <u>0719</u> , or for which a copy thereof is attached.
OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
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☐ Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
HZS/2003 TOHN MARONEY Typed or printed name Typed or printed name
Telephone number Signature
JOHN MARONEY Director, Office of Technology Transfer
Director, Omca di tecnnology tratales Title

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MARCH 27, 2002

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Chief Information Officer Washington, DC 20231 www.uspto.gov

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REEL/FRAME: 012521/0719

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MCCOMBIE, W. RICHARD

DOC DATE: 10/09/2001

ASSIGNOR:

MARTINSEN, ROBERT A.

DOC DATE: 10/24/2001

ASSIGNEE:

COLD SPRING HARBOR LABORATORY

1 BUNGTOWN ROAD

P.O. BOX 100

COLD SPRING HARBOR, NEW YORK 11724

SERIAL NUMBER: 09713426

FILING DATE: 11/15/2000

PATENT NUMBER:

ISSUE DATE:

VIOLET MCCOY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Commissioner of Pat.	101967	.ached original documents or copy thereof.
1. Name of conveying party(ies):		Name and address of receiving party(ies)
1) W. Richard McCombie 2) Robert A. Martinsen	12	Name: Cold Spring Harbor Laboratory
2) Robert A. Martinsen		Internal Address: <u>P.O.Box 100</u>
Additional name(s) of conveying party(ies) attached? ☐ Yes 6	Z No	
3. Nature of conveyance:		
☑ Assignment ☐ Merger		Street Address: <u>1 Bungtown Road</u>
☐ Security Agreement ☐ Change of	of Name	}
Other	<u>-</u> •	City: Cold Spring Harbor . State: NY . Zip 11724.
Execution Date: 1) 10/09/2001 2) 10/24/2001		Additional name(s) & address(es) attached? 디 Yes 덦 No
4. Application number(s) or patent number(s):		
If this document is being filed together with a new	application, t	he execution date of the application is:
A. Patent Application No.(s)	•	B. Patent No.(s)
09/713,426		
Addition	al numbers att	ached? □ Yes ☑ No
5. Name and address of party to whom corrections document should be mailed:	espondence	6. Total number of applications and patents involved:
Name: <u>Heidi S. Nebel.</u>		7. Total fee (37 CFR 3.41)\$ 40.00
Internal Address:	<u></u>	☑ Enclosed [Please charge any deficiency or credit
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'Street Address: 801 Grand, Suite 3200	•	8. Deposit account number:
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City: <u>Des Moines</u> . State: <u>IA</u> Zip: <u>50</u>	0309-2721	(Attach duplicate copy of this page if paying by deposit account)
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-the original document.	١ ا	11/1/0
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Heidi S. Nebel . Name of Person Signing	A COUNTY	Signature Date
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For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto:

Cold Spring Harbor Laboratory
P.O. Box 100
1 Bungtown Road
Cold Spring Harbor, New York 11724

its successors and assigns, the entire right, title and interest so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the invention entitled GENETICALLY FILTERED SHOTGUN SEQUENCING OF COMPLEX EUKARYOTIC GENOMES as set forth in his United States Patent Application

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	executed co	ncurrently herew	ith		
	executed on		····		
Х	Serial No.	09/713,426_	filed	November 15, 2000	

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts

Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said invention, and to testify in any proceedings relating to said inventions, patent applications, Letters Patent.

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Note:

The undersigned hereby grant an authorized representative of Assignee the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS						
Signature: W. RICHARD McCOMBIE	Date: / 9 / 0 /					
Signature: ROBERT A. MARTIENSSEN	Date: 10 / 24 / 0)					
NAMES AND SIGNATURES OF WITNE	SSES					
70						
Name: John Maroney Signature: The Lang	Date: 007 9 2001					
Name: JUDITH T. SMITH () Signature Subtle Juneth)	Date: oct 24, 2001					
Name: Anberta Salant Signature Late Salast	Date: Op X 24,201					

Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

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P03842US1 (ORIN-00402 Attorney Docket Number **DECLARATION FOR UTILITY OR** McCombie, W. Richard First Named Inventor **DESIGN** COMPLETE IF KNOWN **PATENT APPLICATION** (37 CFR 1.63) **Application Number** 09 / 713,426 November 15, 2000 **Filing Date** □ Declaration ✓ Declaration 1645 Submitted after Initial Submitted OR **Group Art Unit** Filing (surcharge (37 CFR 1.16 (e)) required) with Initial Filing Examiner Name

As a below named inventor, I her	reby declare that:						
My residence, mailing address, and	d citizenship are as stat	ted below next to my na	me.				
	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:						
FILTERED SHOTGUN SEQUENCING OF COMPLEX EUKARYOTIC GENOMES							
the specification of which	σ	Itle of the Invention)					
☐ is attached hereto OR			States Application I	lumber or PCT Internetio	nai		
was filed on (MM/DD/YYYY)	11/15/2000	<u>)</u>		(If applicab	le).		
Application Number 09/713	3,426 and was a	mended on (MM/DD/Y)	m				
I hereby state that I have reviewed amended by any amendment spec	i and understand the co	ontents of the above ide re.	entified specification	n, including the claims, as	1		
I acknowledge the duty to disclose in-part applications, material inform PCT international filing date of the	information which is mation which became a continuation-in-part ap	naterial to patentablilty a vallable between the fili plication.	as defined in 37 CF ing date of the prior	R 1,56, including for cont r application and the natio	inuation- onal or		
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.							
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attach YES NO	red?		
			0000	0000			
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:							
I hereby claim the benefit under	35 U.S.C. 119(e) of an	y United States provision	onal application(s)	listed below.			
Application Number(s) 60/121,453	Filing Dat 02/13/1999	(MM/DD/YYY)	numbers supplem	al provisional application are listed on a ental priority data sheet /02B attached hereto.			

[Page 1 of 2]

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.									
NAME OF SOLE OR FIF	RST INV	ENTOR:			A petition	on has bee	n filed	for this unsi	gned inventor
Given Name (first and middle [if any]) W. Richard Family Name or Surname McCombie									
Inventor's 2/2/01 Signature 2/2/01 Date 8/17/01									
Residence: City Co	ld Spri	ng Harbor	•	State	NY (Country U	SA	Citizenship	USA
Mailing Address Cold Spring Harbor Laboratory									
Malling Address Lita	Annen	Hazenbur	g Geno	me Sequ	encing	Center			
City Cold Spring Ha	rbor	State	NY		ZIP	11724		Country	USA
NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor					igned inventor				
Given Name (first and middle [if any])		Robert A	Α.		Family I			Martiensse	n
Inventor's Signature						·		Date	
Residence: City Co	ld Spri	ng Harbor		State	NY	Country \	JSA	Citizenship	UK & N. IR
Mailing Address Cold	Spring	Harbor L	aborato	ıy					
Mailing Address 1 Bu	ngtowr	Road							
City Cold Spring Ha	rbor	State	NY		ZIP	11724		Country	USA
Additional inventors are bei	ng name	d on the	suppleme	ntal Additio	nai Inven	tor(s) sheet(s) PTO/	SB/02A attache	d hereto.

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DECLARATION — Utility or Design Patent Application Customer Number 22885 Direct all correspondence to: OR [Correspondence address below or Bar Code Label Name Address Address City State ZIP Country Telephone Fax I hereby declare that all statements made herein or my own knowledge are true and that all statements made on information and belief are believed to be true; and turther that these statements were made with the knowledge that willful false statements and the like so made are punishable by time or impresonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. A petition has been filed for this unsigned inventor NAME OF SOLE OR FIRST INVENTOR: GNER Kame Family Name W. Richard McCombie first and middle [if eny]) or Surname Inventor's ື່ອງຊາກລາພາ ພ Oate Cold Spring Harbor USA Residence: City Cold Spring Harbor Laboratory Malling Address Lita Annon Hazonburg Genome Sequencing Center Mailing Address Cold Spring Harbor NY **USA** 11724 Country NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor Given Name Family Name Ruberi A. Martienssen (first and middle [ff, any) or Sumame inventors 01 Signature Cold Spring Harbor COUNTRY USA Ciuzenship UK & N. IR NY Residence: City Cold Spring Herbor Laboratory Malling Address I Bungtown Road aserdda grillem Cold Spring Harbor 11724 NY Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/8B/02A stached hereto.